

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

144: Filer Information

Filer CIK0001538591

Filer CCCXXXXXXXX

Is this a LIVE or TEST Filing?☒ LIVE ☐ TEST

Submission Contact Information

Name
Phone
E-Mail Address

144: Issuer Information

Name of IssuerPIPER SANDLER COMPANIES

SEC File Number001-31720

Address of Issuer800 NICOLLET MALL, SUITE 900
MINNEAPOLIS
MINNESOTA
55402

Phone(612) 303-6000

Name of Person for Whose Account the Securities are To Be SoldAbraham Chad R

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

Officer

144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
Common	Charles Schwab & Co., Inc. 3000 Schwab Way Westlake TX 76262	27500	3498074.00	17887304	05/03/2023	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired	Is this	Date Donor Acquired	Amount of Securities Acquired	Date of Payment	Nature of Payment *
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				a Gift?			
Common	02/16/2017	Restricted Stock Lapse	PIPER SANDLER COMPANIES	<input type="checkbox"/>	4880	02/16/2017	Equity Compensation
Common	02/17/2018	Restricted Stock Lapse	PIPER SANDLER COMPANIES	<input type="checkbox"/>	1541	02/17/2018	Equity Compensation
Common	05/17/2019	Performance Stock Lapse	PIPER SANDLER COMPANIES	<input type="checkbox"/>	155	05/17/2019	Equity Compensation
Common	02/16/2020	Restricted Stock Lapse	PIPER SANDLER COMPANIES	<input type="checkbox"/>	4513	02/16/2020	Equity Compensation
Common	02/16/2022	Restricted Stock Lapse	PIPER SANDLER COMPANIES	<input type="checkbox"/>	4943	02/16/2022	Equity Compensation
Common	02/25/2022	Performance Stock Lapse	PIPER SANDLER COMPANIES	<input type="checkbox"/>	11468	02/25/2022	Equity Compensation

* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

144: Securities Sold During The Past 3 Months

Nothing to Report ☒

144: Remarks and Signature

Remarks

Date of Notice 05/03/2023

Date of Plan Adoption or Giving of Instruction, If Relying on Rule 10b5-1 10/31/2022

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Chad Abraham

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)